Decision Logic

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1. Introduction

The concept of the rough set (cf. Pawlak (1982)) have inspired a variety of logical research (cf. Jian-Ming et al. (1990), Konikowska (1987), Nakamura et al. (1988), Orlowska (1984, 1985a,b, 1989), Pawlak (1987b), Rasiowa et al. (1985, 1986a,b), Rauszer (1985, 1986), Szczerba (1987), Vakarelov (1981, 1989) and others). Most of the above mentioned logical research has been directed to create deductive logical tools to deal with approximate (deductive) reasoning.

In contrast to the above line of research we propose in this chapter logic which is of inductive character (cf. Ajdukiewicz (1974)) and is intended as a tool for data analysis, i.e. our main concern is in discovering dependencies in data and data reduction, which is rather closer to statistical then deductive methods, however to this end we shall use deductive tools.

Let us explain these ideas more exactly. Our main goal is reasoning about knowledge concerning certain reality. We have assumed that knowledge is represented as a value-attribute table, called sometimes Information System (cf. Pawlak (1981)) or Knowledge Representation System (cf. Pawlak (1984)).

Representation of knowledge in tabular form, has great advantages in particular for its clarity. It turns out that the data table may be looked at as a set of propositions about the reality and consequently can be treated by means of logical tools, which will be developed in this paper. We offer two possibilities here, one based on normal form representation of formulas and the second employing indiscernibility to investigate whether some formulas are true or not. The latter approach, referring to indiscernibility, leads to simple algorithms for data reduction and analysis, and is fundamental to our philosophy.

In fact the data table can be viewed as a model for special logic, called here decision logic, which will be used to derive conclusions from data available in the knowledge representation system. We will be basically concerned in discovering dependencies in knowledge and also in knowledge

raduction, and to this end we shall use syntactical tools available in the proposed logic.

One of the chief implications of the presented philosophy is that our main concern is the fundamental notion of the decision logic, the decision algorithm, which is a set of decision rules (implications). Because an algorithm is usually meant as a sequence (not set) of instructions (decision rules), thus the decision "algorithm" fails to meat the usual understanding of the notion of an algorithm, nevertheless, for the lack of a better term, we will stick to the proposed terminology.

Algorithm seems in order. Formulas can be true or false but the decision algorithm, which is a set of formulas, can not have attributes of truth or falsity. Instead consistency and inconsistency will be the basic features of decision algorithms. In other words our account, in contrast to philosophy of deduction, stress rather consistency (or inconsistency) of data then their truth (or falsity), and our main interest is not in investigation of theorem proving mechanisms in the introduced logic, but in analysis, in computational terms (decision algorithms, or condition—action rules), of how some facts are derived from data.

With the above remarks in mind we start in the next wection considerations on a formal language for decision logic.

2. Language of Decision Logic

The language of decision logic (DL-language) we are going to define and discuss here will consists of atomic formulas, which are attribute-value pairs, combined by means of mentential connectives and, or, not etc. in a standard way, forming compound formulas.

Formally the language is defined inductively as follows. First we start with the alphabet of the language which

consists of :

- a) A the set of attribute constants
- b) $V = UV_a$ the set of attribute value constants $a \in A$
- c) Set { ~ , v , A , -> , \(\equiv \) af propositional connectives, called respectively negation, disjunction, conjunction, implication and equivalence respectively.

The propositional connectives symbols may be considered as abbreviations of the logical connectives "not", "or", "and", "if ... then", "if and only if".

Let us note that the alphabet of the language contains no variables and its expressions will be built up only from the above symbols, i.e. attribute and attribute value symbols, logical connectives and some auxiliary symbols like parenthesis — which means that formulas in the DL-language are in fact sentences.

Moreover, we should pay attention to the fact that sets A and V_{α} are treated as sets of names of attributes and attribute values respectively. Hence in order to distinguish if necessary, attributes and attribute names we will use bold and italic alphabets respectively. For example color is the attribute and color is the attribute constant (name).

The case of values of attributes is quite similar. For example, if one of the values of the attribute color were red, then the corresponding attribute value constant would be red.

Next we define the set of formulas in our language, which are defined below.

The set of formulas of DL-language is the least set satisfying the following conditions:

- 1) Expressions of the form (α, υ) , or in short α_{υ} , called elementary (atomic) formulas, are formulas of the DL-language for any $\alpha \in A$ and $\upsilon \in V_{\alpha}$.
- 2) If ϕ and Ψ are formulas of the DL-language, then so are $\sim \Phi$, $(\Phi \vee \Psi)$, $(\Phi \wedge \Psi)$, $(\Phi \wedge \Psi)$, and $(\Phi \equiv \Psi)$.

3. Semantics of Decision Logic Language

Formulas are meant to be used as descriptions of objects of the universe. Of course some objects may have the same description, thus formulas may describe also subsets of objects obeying properties expressed by these formulas. In particular atomic formula (α, ν) is interpreted as a description of all objects having value ν for attribute α . Compound formulas are interpreted in the usual way.

In order to express this problem more precisely we define Tarski's style semantics of the *DL*-language employing the notions of a model and satisfiability.

By the model we will simply mean the knowledge representation system (KR-system) S = (U, A), where U is a finite set called the *universe*, A is the set of attributes and each attribute $\alpha \in A$ is a function $\alpha: U \to V_{\alpha}$, which to each object $x \in U$ assigns an attribute value $v \in V_{\alpha}$. In other words a model is an attribute-value table columns of which are labelled by attributes and rows - by objects; every entry of the table corresponding to an object x and an attribute α is attribute value $\alpha(x)$.

Thus the model S describes the meaning of symbols of predicates (α , υ) in U, and if we properly interpret formulas in the model then each formula becomes a meaningful sentence, expressing properties of some objects.

This can be voiced more precisely using the the concept of satisfiability of a formula by an object, which follows next.

An object $x \in U$ satisfies a formula ϕ in S = (U, A), denoted $x \mid =_S \phi$ or in short $x \mid = \phi$, if S is understood, if and only if the following conditions are satisfied:

(1)
$$\times$$
 |=(a,v) iff $f(a,x)=v$

(2)
$$\times$$
 |= ϕ iff non \times |= ϕ

(3)
$$x = \phi \lor \psi \text{ iff } x = \phi \text{ or } x = \Psi$$

(4)
$$\times$$
 $|= \phi \wedge \psi$ iff \times $|= \phi$ and \times $|= \Psi$

As a corollary from the above conditions we get

(5)
$$\times$$
 |= ϕ -> ψ iff \times |= $\sim \phi$ \vee ψ
(6) \times |= ϕ \equiv ψ iff \times |= ϕ -> ψ and \times |= ψ -> ϕ

If ϕ is a formula then the set $|\phi|_S$ defined as follows

$$|\phi|_S = \{x \in U: x \mid =_S \phi\}$$

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will be called the meaning of the formula ϕ in S. Thus the meaning is a function whose arguments are formulas of the language and whose values are subsets of the set of objects of the system.

The following is an important proposition, which explains the meaning of an arbitrary formula.

Proposition 1

(a)
$$|(\alpha, v)|_S = \{x \in U: a(x) = v\}$$

(b)
$$| \sim \phi |_S = - | \phi |_S$$

(c)
$$|\phi \vee \Psi|_S = |\phi|_S \cup |\Psi|_S$$

(d)
$$|\phi \wedge \Psi|_S = |\phi|_S \cap |\Psi|_S$$

(e)
$$|\phi \rightarrow \Psi|_S = - |\phi|_S \cup |\Psi|_S$$

(f)
$$|\phi = \Psi|_{S} = |\phi|_{S} \cap |\Psi|_{S} \cup - |\phi|_{S} \cup - |\Psi|_{S}$$

Thus meaning of the formula ϕ is the set of all objects having the property expressed by the formula ϕ , or the meaning of the formula ϕ is the description in the KR-language of the set of objects $|\phi|_{S^*}$

We need also in our logic the notion of truth.

A formula ϕ is said to be *true* in a KR-system S, $|=_S \phi$, if and only if $|\phi|_S = U$, i.e. the formula is satisfied by all objects of the universe in the system S.

Formulas ϕ and Ψ are equivalent in S if and only if $\left|\phi\right|_{S}$ = $\left|\Psi\right|_{S}$.

The following proposition gives simple properties of the introduced notions.

Proposition 2

(a)
$$|=_{\varsigma} \phi$$
 iff $|\phi|_{\varsigma} = U$

(c)
$$|=_S \phi \rightarrow \psi \text{ iff } |\phi|_S \subseteq |\psi|_S$$

(d)
$$|=_S \phi \equiv \psi \text{ iff } |\phi|_S = |\psi|_S$$

At the end let us stress once more that the meaning of the formula depends on the knowledge we have about the universe, i.e. on the knowledge representation system. In particular a formula may be true in one knowledge representation system but false in another one. However, there are formulas which are true independent of the actual values of attributes appearing in them, but depend only on their formal structure. They will play special role in our considerations. Note, that in order to find the meaning of

such formula, one need not to be acquainted with the knowledge contained in any specific knowledge representation system because their meaning is determined by its formal structure only. Hence, if we ask whether a certain fact is true in the light of our actual knowledge (represented in a given knowledge representation system), it is sufficient to use this knowledge in an appropriate way. However, in case of formulas which are true (or not) in every possible knowledge representation system, we do not need in fact any particular knowledge but only suitable logical tools. They will be considered in the next section.

4. Deduction in Decision Logic

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In this section we are going to study the deductive structure of the decision logic. To this end we have to introduce some axioms and inference rules.

Before we start a detailed discussion of this problem, let us first give some intuitive background for the proposed solution.

The language introduced in the previous section was intended to express knowledge contained in a specific knowledge representation system. However, the same language can be treated as a common language for many knowledge representation systems with different sets of objects but with identical sets of attributes and identical attribute values sets. From syntactical aspects, all the languages of such systems are identical. However, their semantics differ due to the different sets of objects and their properties are represented in specific knowledge representation systems, in which the meaning of formulas is to be defined.

In order to define our logic, we need to verify the semantic equivalence of formulas. To do this we need to end up with suitable rules for transforming formulas without changing their meanings are necessary. Of course, in theory we could also verify the semantic equivalence of formulas by computing their meaning accordingly to the definition, and comparing them in order to check whether they are identical or not. Unfortunately, such a procedure would be highly unpractical, though - due to the finiteness of the considered knowledge (tables) - it is always possible. However, this method cannot be used for verifying the equivalence of formulas in every knowledge representation system because of the necessity of computing the meanings of these formulas in an infinite number of systems. Hence suitable axioms and inference rules are needed to prove equivalence of formulas in a formal way.

Basically axioms will correspond closely to axioms of classical propositional calculus, however some specific axioms connected with the specific properties of knowledge

representation systems are also needed - and the only inference rule will be modus ponens.

Thus the set of all axioms of DL-logic consists of all propositional tautologies and some specific axioms.

Before we list specific axioms which hold in each concrete knowledge representation system we need some auxiliary notions and denotations.

We will use the following abbreviations:

$$\Phi \wedge \sim \phi =_{\text{df}} 0 \text{ and } \Phi \vee \sim \phi =_{\text{df}} 1$$

Obviously |=1 and $|=\sim0$. Thus 0 and 1 can be assumed to denote falsity and truth respectively.

Formula of the form

$$(\alpha_1, v_1) \wedge (\alpha_2, v_2) \wedge \dots \wedge (\alpha_n, v_n),$$

where $v_i \in V_{\alpha_i}$, $P = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$, and $P \subseteq A$, will be

called a P-basic formula or in short P-formula. A-basic formulas will be called basic formulas.

Let $P \subseteq A$, ϕ be a P-formula and $x \in U$. If $x \mid = \phi$, then ϕ will be called the P-description of x in S. The set of all A-basic formulas satisfiable in the KR-system S = (U, A) will be called the basic knowledge in S.

We will need also a formula $\Sigma_S(P)$, or in short $\Sigma(P)$, which is disjunction of all P-formulas satisfied in S; if P = A then $\Sigma(A)$ will be called the *characteristic formula* of the KR-system S = (U, A).

Thus the characteristic formula of the system represents somehow the whole knowledge contained in the KR-system S.

In other words each row in the table, is in our language represented by a certain A-basic formula, and the whole table is now represented by the set of all such formulas so that instead tables we can now use sentences to represent knowledge.

Example 1

Let us consider the following KR-system

| U | α | ъ | C |
|---|-----|------|---|
| 1 | 1 | 0 | |
| 2 | 2 | ō | 3 |
| 3 | 1 | 1 | 1 |
| 4 | 1 | 1 | 1 |
| 5 | 2 | 1 | 3 |
| 6 | 1 | 0 | 3 |
| | Tab | le i | |

The following are all basic formulas (basic knowledge) in the KR-system. For simplicity we will omit the symbol of disjunction \wedge in basic formulas: $a_1 \ b_0 \ c_2, \ a_2 \ b_0 \ c_3, \ a_1 \ b_1 \ c_1, \ a_2 \ b_1 \ c_3, \ a_1 \ b_0 \ c_3.$

The characteristic formula of the system is

$$a_1 \ b_0 \ c_2 \lor a_2 \ b_0 \ c_3 \lor a_1 \ b_1 \ c_1 \lor a_2 \ b_1 \ c_3 \lor a_1 \ b_0 \ c_3.$$

For the sake of illustration let us give meanings of some formulas in the system.

$$\begin{vmatrix} a_1 & b_0 & c_2 \end{vmatrix} = \{1, 3, 4, 6\}$$

 $\begin{vmatrix} a_2 & b_1 \end{vmatrix} = \{1, 2, 3, 4, 6\}$
 $\begin{vmatrix} b_0 & b_2 \end{vmatrix} = \{1, 3, 4, 5\}$
 $\begin{vmatrix} a_2 & b_0 \end{vmatrix} = \{1, 2, 3, 4, 5, 6\}$

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age ole Now ⊄let us give specific axiom of DL-logic.

- (1) $(\alpha, \upsilon) \wedge (\alpha, u) \equiv 0$, for any $\alpha \in A$, $\upsilon, u \in V_{\alpha}$ and $\upsilon \neq u$
- (2) $V(\alpha, \nu) \equiv 1$, for every $\alpha \in A$ $\nu \in V_{\alpha}$
- (3) $\sim (\alpha, v) \equiv V(\alpha, u)$, for every $\alpha \in A$ $u \in V$ α $u \neq v$

We will also need the following proposition.

Proposition 3

$$|=_S \Sigma_S(P) \equiv 1$$
 , for any $P \subseteq A$.

The axioms of the first group are counterparts of propositional calculus axioms. The axioms of the second group require a short comment, for they are characteristic to our notion of the knowledge representation system.

The axiom (1) follows from the assumption that each object can have exactly one value of each attribute. For example, if something is red, it cannot be either blue or green.

The second axiom (2) follows from the assumption that each attribute must take one of the values of its domain for every object in the system. For example, if the attribute in question is color, then each object must be of some color which is the value of this attribute.

The axiom (3) allows us the get rid of negation in such a way that instead of saying that an object does not posses a given property we can say that it has one of the remaining properties. For example instead of saying that something is not red we can say that it is either green, or blue or violet etc. Of course, this rule is admissible due to the finiteness assumption about the set of values of each set of attributes.

The Proposition 3 means that the knowledge contained in the knowledge representation system is the whole knowledge available at the present stage, and corresponds to so called closed word assumption (CWA).

Now we are ready to define basic concepts of this section.

We say that a formula ϕ is derivable from a set of formulas Ω , (i.e.from Σ_S) denoted $\Omega \mid -\phi$, if and only if it is derivable from axioms and formulas of Ω , by finite application of the inference rule (modus ponenes).

A formula ϕ is a *theorem* of *DL*-logic, symbolically $|-\phi|$, if it is derivable from the axioms only.

A set of formulas Ω is consistent if and only if the formula $\phi \wedge \neg \phi$ is not derivable from Ω .

The set of theorems of DL-logic is identical with the set of theorems of classical propositional calculus with specific axioms (1-3), in which negation can be eliminated.

5. Normal Forms

Formulas in the DL-language can be presented in a special form called normal form, which is similar to that in classical propositional calculus.

Let $P\subseteq A$ be subset of attributes and let ϕ be a formula in DL-language.

We say that ϕ is in a P-normal form in S, (in short in P-normal form) if and only if either ϕ is 0 or ϕ is 1, or ϕ is a disjunction of non empty P-basic formulas in S. (The formula ϕ is non-empty if $|\phi|_S \neq \emptyset$).

A-normal form will be referred to as normal form.

The following is an important property of formulas in the DL-language.

Proposition 4

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Let ϕ be a formula in DL-language and let P contain all attributes occurring in ϕ . Moreover assume axioms (1) -(3) and the formula $\Sigma_S(A)$. Then, there is a formula ψ in the P-normal form—such that $|-\phi| \equiv \psi$.

Example 2

Below are given normal forms of formulas considered in Example 1.

$$\begin{array}{l} a_1 \ \lor \ b_0 \ c_2 \ = \ a_1 \ b_0 \ c_2 \ \lor \ a_1 \ b_1 \ c_1 \ \lor \ a_1 \ b_0 \ c_3 \\ \sim (a_2 \ b_1) \ = \ a_1 \ b_0 \ c_2 \ \lor \ a_2 \ b_0 \ c_3 \ \lor \ a_1 \ b_1 \ c_1 \ \lor \ a_1 \ b_0 \ c_3 \\ b_0 \ - \gt \ c_2 \ = \ a_1 \ b_0 \ c_2 \ \lor \ a_1 \ b_1 \ c_1 \ \lor \ a_2 \ b_1 \ c_3 \\ a_2 \ \equiv \ b_0 \ = \ a_2 \ b_0 \ c_3 \ \lor \ a_1 \ b_1 \ c_1 \end{array}$$

Examples of formulas in $\{a,b\}$ -normal in Table 1 are given next.

$$\begin{array}{l} -(a_{2} b_{1}) = a_{1} b_{0} \vee a_{2} b_{0} \vee a_{1} b_{1} \vee a_{1} b_{0} \\ a_{2} \equiv b_{0} = a_{2} b_{0} \vee a_{1} b_{1} \end{array}$$

The following are examples of formulas in $\{b, c\}$ -normal forms in Table 1.

$$a_1 \lor b_0 c_2 = b_0 c_2 \lor b_1 c_1 \lor b_0 c_3$$

 $b_0 \to c_2 = b_0 c_2 \lor b_1 c_1 \lor b_1 c_3$

Thus in order to compute the normal form of a formula we have to transform the formula by means of propositional calculus axioms and the specific axioms for a given KR-system.

6. Decision Rules and Decision Algorithms

In this section we are going to define two basic concept in the DL-language, namely that of a decision rule and a decision algorithm.

Any implication ϕ -> ψ will be called a decision rule in the KR-language; ϕ and ψ are referred to as the predecessor and the successor of ϕ -> ψ respectively.

If a decision rule $\phi \rightarrow \psi$ is true in S we will say that the decision rule is consistent in S, otherwise the decision rule is inconsistent in S.

If $\phi \rightarrow \psi$ is a decision rule and ϕ and ψ are P-basic and Q-basic formulas respectively, then the decision rule $\phi \rightarrow \psi$ will be called a PQ-basic decision rule, (in short PQ-rule), or basic rule when PQ is known. The sets of attributes P and Q will be referred to as condition and decison (action) attributes respectively.

If $\phi_1 \rightarrow \psi$, $\phi_2 \rightarrow \psi$, ... $\phi_n \rightarrow \psi$ are basic decision rules then the decision rule $\phi_1 \vee \phi_2 \vee \ldots \vee \phi_n \rightarrow \psi$ will be called *combination* of basic decision rules $\phi_1 \rightarrow \psi$, $\phi_2 \rightarrow \psi$, ... $\phi_n \rightarrow \psi$, or in short *combined* decision rule.

A PQ-rule $\phi \rightarrow \psi$ is admissible in S if $\phi \wedge \psi$ is satisfiable in S.

Throughout the remainder of this paper we will consider admissible rules only, except when the contrary is explicitly stated.

The following simple property can be employ to check whether a PQ-rule is true or false (consistent or inconsistent)

Proposition 5

A PQ-rule is true (consistent) in S, if and only if all $(P \cup Q)$ -basic formulas which occur in the $\{P \cup Q\}$ -normal form of the predecessor of the rule, and occur also in the $\{P \cup Q\}$ -normal form of the successor of the rule; otherwise the rule is false (inconsistent) in S.

Example 3

The rule $b_0 \rightarrow c_2$ is false in Table 1, because the (b, c)-normal form of b_0 is $b_0 c_2 \vee b_0 c_3$, $\{b, c\}$ -normal form of c_2 is $b_0 c_2$, and the formula $b_0 c_3$ does not occur in the successor of the rule.

On the other hand the rule $a_2 \rightarrow c_3$ is true in the table, because the $\{a, c\}$ -normal form of a_2 is $a_2 c_3$, whereas the $\{a, c\}$ -normal form of c_3 is $a_2 c_3 \vee a_1 c_3$.

Any finite set of decision rules in a DL-language, is referred to as a decision algorithm in the Dl-language.

We recall, as already mentioned in the Introduction, that by an algorithm we mean a set of instructions (decision rules), and not as usually - a sequence of instructions. Thus our conception of algorithm differs from the existing one, and can be understood as generalization of the latter.

Now we are going to define the the basic concept of this section.

Any finite set of basic decision rules will be called a basic decision algorithm.

If all decision rules in a basic decision algorithm are PQ-decision rules, then the algorithm is said to be PQ-decision algorithm, or in short PQ-algorithm, and will be denoted by (P,Q).

A PQ-algorithm is admissible in S, if the algorithm is the set of all PQ-rules admissible in S.

A PQ-algorithm is complete in S, if for every $x \in U$ there exists a PQ-decision rule $\phi \to \psi$ in the algorithm such that $x \models \phi \land \psi$ in S; otherwise the algorithm is incomplete in S.

In what follows we shall consider admissible and complete PQ-algorithms only, if not stated otherwise.

The PQ-algorithm is consistent in S, if and only if all its decision rules are consistent (true) in S; otherwise the algorithm is inconsistent in S.

Sometimes consistency (inconsistency) may be interpreted as determinism (indeterminism), however we shall stick to the concept of consistency (inconsistency) instead of determinism (nondeterminism), if not stated otherwise.

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Thus when we are given a KR-system, then any two arbitrary, nonempty subsets of attributes P, Q in the system, determine uniquely a PQ-decision algorithm. Note that the KR-system with distinguished condition and decision attributes may be regarded as a decision table. (cf. Pawlak (1985, 1986, 1987a)).

Example 4

Consider the KR-system shown below.

| υ | α | b | С | d | <u>e</u> |
|--------|---|---|---|---|----------|
| 1 | 1 | o | 2 | 1 | 1 |
| 2 | 2 | 1 | O | 1 | 0 |
| 2 3 | 2 | 1 | 2 | Ō | 2 |
| 4 5 | 1 | 2 | 2 | 1 | 1 |
| 5 | 1 | 2 | O | 0 | 2 |

Table 2

Assume that $P = \{a,b,c\}$ and $Q = \{d,e\}$ are condition and decision attributes respectively. Sets P and Q uniquely associate the following PQ-decision algorithm with the table:

If we assume that $R=\{a,b\}$ and $T=\{c,d\}$ are condition and decision attributes respectively, the then RT-algorithm determined by Table 2 is the following:

Of course both algorithms are admissible and complete.

7. Truth and Indiscernibility

In order to check whether a decision algorithm is consistent or not we have to check whether all its decision rules are true or not. To this end we could employ Proposition 5, however the following propositions gives a much simpler method to solve this problem which will be used in what follows.

Proposition 6

A PQ-decision rule ϕ -> ψ in a PQ-decision algorithm is consistent (true) in S, if and only if for any PQ-decision rule $\phi' \rightarrow \psi'$ in (P,Q), $\phi = \phi'$ implies $\psi = \psi' \cdot \blacksquare$

Note that in this proposition order of terms is important, since we require equality of expresions.

Let us also remark tha in order to check whether a decision rule ϕ -> ψ is true or not we have to show that the predecessor of the rule (the formula ϕ) discerns the decision class ψ from the remaining decision classes of the decision algorithm in question. Thus the concept of truth is somehow replaced by the concept of indiscernibility.

We will depict the above ideas by the following example.

Example 5

Consider again the KR-system as in Example 4

| U | а | <u>b</u> | С | d | e |
|---|---|----------|---|---|---|
| 1 | 1 | O | 2 | 1 | 1 |
| 2 | 2 | . 1 | 0 | 1 | 0 |
| 3 | 2 | 1 | 2 | Ö | 2 |
| 4 | 1 | 2 | 2 | 1 | 1 |
| 5 | 1 | 2 | O | 0 | 2 |

Table 3

with $P = \{a, b, c\}$ and $Q = \{d, e\}$ as condition and decision attributes respectively. Let us check whether the PQ-algorithm

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is consistent or not.

Because the predecessors of all decision rules in the algorithm are different, (i.e. all decision classes are discernible by predecessors of all decision rules in the algorithm), then all decision rules in the algorithm are consistent (true) and consequently the algorithm is consistent. This can be also seen directly from Table 4. The RT-algorithm, where $R = \{\alpha, b\}$ and $T = \{c, d\}$

is inconsistent because the rules

have the same predecessors and different successors, i.e. we are unable do discern decisions $c_0^-d_1^-$ and $c_2^-d_0^-$ by means of conditions $a_2^-b_1^-$. Thus both rules are inconsistent (false) in the KR-system. Similarly, the rules

$$\begin{array}{c} a_1 & b_2 \rightarrow c_2 & d_1 \\ a_1 & b_2 \rightarrow c_0 & d_0 \end{array}$$

are also inconsistent (false).

There is only one consistent rule a_1 b_0 \rightarrow c_2 d_1 in the TR-algorithm and consequently the algorithm is inconsistent. This is visible much easily when representing the decision algorithm as decision table

| \underline{U} | а | b | C | <u>d</u> |
|-----------------|---|---|----|----------|
| 1 | 1 | Ō | 2 | 1 |
| 4 | 1 | 2 | 2 | 1 |
| 2 | 2 | 1 | 00 | 1 |
| 3 | 2 | 1 | 2 | 0 |
| 5 | 1 | 2 | 0 | 0 |

Table 4

For simplicity we rearranged the rows, and separated decision classes by underlining.

We will often treat decision tables as a convenient way of representation of decision algorithms, for this form is more compact and easy to follow, then the *DL*-language. Note however that formally decision algorithms and decision tables are different concepts.

8. Dependency of Attributes

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Now we are ready to define the most essential concept of our approach — the dependency of attributes.

We will say that the set of attributes Q depends totally, (or in short depends) on the set of attributes P in S, if there exists a consistent PQ-algorithm in S. If Q depends on P in S we will write $P \Rightarrow_C Q$ or in short $P \Rightarrow Q$.

We can also define partial dependency of attributes.

We say that the set of attributes Q depends partially on the set of attributes P in S if there exists only an inconsistent PQ-algorithm in S.

Similarly as before we are able to define the degree of dependency between attributes.

Let (P,Q) be a PQ-algorithm in S. By a positive region of the algorithm (P,Q), denoted POS(P,Q) we mean the set of all consistent (true) PQ-rules in the algorithm.

In other words the positive region of the decision algorithm (P,Q) is the consistent part (possibly empty) of the inconsistent algorithm.

Obviously a PQ-algorithm is inconsistent if and only if $POS(P,Q) \neq (P,Q)$ or what is the same $card(POS(P,Q)) \neq card(P,Q)$.

With every PQ-decision algorithm we can associate a number k = card (POS (P,Q)) / card (P,Q), called the degree

of consistency of the algorithm, or in short the degree of the algorithm, and we will say that the PQ-algorithm has the degree (of consistency) k.

Obviously $0 \le k \le 1$. If a PQ-algorithm has degree k we can say that the set of attributes Q depends in degree k on the set of attributes P, and we will write $P \Rightarrow_k Q$.

Naturally the algorithm is consistent if and only if k = 1, otherwise, i.e. if $k \neq 1$, the algorithm is inconsistent.

For example the degree of dependency between attributes $\{a, b, c\}$ and $\{d, e\}$ in the algorithm considered in Example 5 in the previous section is 1, whereas the dependency between $\{a, b\}$ and $\{c, d\}$ is 0.2, because there is only one consistent (true) decision rule out of five decision rules in the algorithm.

Let us note that in the consistent algorithm all decisions are uniquely determined by conditions in the decision algorithm, which is not the case in inconsistent algorithm. In other words all decisions in a consistent algorithm are discernible by means of conditions available in the decision algorithm.

9. Reduction of Consistent Algorithms

The problem we are going to consider in this section, concerns simplification of decision algorithms, more exactly we will investigate whether all condition attributes are necessary to make decisions. In this section we will discuss the case of a consistent algorithm.

Let (P,Q) be a consistent algorithm, and $\alpha \in P$.

We will say that the attribute α is dispensable in the (P,Q)-algorithm if and only if the algorithm $(P-\{\alpha\}),Q)$ is consistent; otherwise the attribute α is indispensable in the algorithm (P,Q).

If all attributes $\alpha \in P$ are indispensable in the algorithm (P,Q), then the algorithm (P,Q) will be called independent.

The subset of attributes $R\subseteq P$ will be called a reduct of P in the algorithm (P,Q), if the algorithm (R,Q) is independent and consistent.

If R is a reduct of P in the algorithm (P,Q), then the algorithm (R,Q) is said to be a reduct of the algorithm (P,Q).

The set of all indispensable attributes in an algorithm

(P,Q) will be called the core of the algorithm (P,Q), and will be denoted by CORE (P,Q).

One can prove the following imporatnt theorem.

Proposition 7

CORE
$$(P,Q) = \bigcap RED (P,Q)$$

where RED (P,Q) is the set of all reducts of (P,Q).

If all rules in a basic decision algorithm are reduced, then the algorithm is said to be reduced.

The following example will illustrate the above ideas.

Example 6

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Let us consider the following KR-system

| U | <u>a</u> | ბ | c | d | e |
|---|----------|---|---|---|---|
| 1 | 1 | 0 | 2 | 1 | 1 |
| 2 | 2 | 1 | 0 | 1 | 0 |
| 3 | 2 | 1 | 2 | 0 | 2 |
| 4 | 1 | 2 | 2 | 1 | 1 |
| 5 | 1 | 2 | 0 | 0 | 2 |

Table 5

and the PQ-algorithm in the system shown below, where $P=\{\alpha,b,c\}$ and $Q=\{d,e\}$ are condition and decision attributes respectively.

as in Example 4, where $P = \{a, b, c\}$ and $Q = \{d, e\}$ are condition and decision attributes respectively.

Let um first compute reducts of condition attributes in the algorithm. It is easy to see that the set of attributes P is dependent in the algorithm, and the core attribute is c.

Hence there are two reduct of P, namely $\{a,c\}$ and $\{b,c\}$. The PQ-algorithm can be reduced then as

or

The above considerations can be easily followed, employing tabular form of representing algorithms, for the basic operations on algorithms can be traced easily, when using this kind of notation.

The PQ-algorithm given in this example can be present as the following decision table

| U | α | ь | c | d | e |
|-----|---|---|-----|---|---|
| . 1 | 1 | o | 2 | 1 | 1 |
| 4 | 1 | 2 | 2 | 1 | 1 |
| 2 | 2 | 1 | 0 | 1 | 0 |
| 3 | 2 | 1 | 2 . | o | 2 |
| 5 | 1 | 2 | 0 | 0 | 2 |

Table 6

in which for simplicity we rearranged the decision rules and the decision classes are separated by underlining.

In order to find core set of attributes we have to drop condition attributes, one by one and see whether thus obtained decision table (algorithm) is consisted or not.

Removing the attribute α we get the table

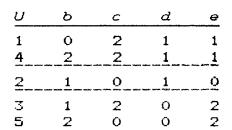


Table 7

which is consistent. Dropping attribute b we get again consistent decision table

| U | a | С | d | e |
|---|---|---|---|---|
| 1 | 1 | 2 | 1 | 1 |
| 4 | 1 | 2 | 1 | 1 |
| 2 | 2 | o | 1 | 0 |
| 3 | 2 | 2 | o | 2 |
| 5 | 1 | 0 | O | 2 |

Table 8

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However when dropping attribute c the result is inconsistent table

| U | α | _ გ | d | <u>e</u> |
|--------|--------|--------|--------|----------|
| 1 4 | 1 1 | 0 2 | 1 1 | 1 |
| 2 | 2 | 1 | 1 | 0 |
| 3 5 | 2 1 | 1 2 | 0 0 | 2 2 |

Table 9

Rules 4 and 5 are inconsistent and so are rules 2 and 3, therefore the attribute c is the core of the set of condition attributes $\{a, b, c\}$, and there are two reducts of this set of attributes, $\{a, c\}$ and $\{b, c\}$. Thus the algorithm has two reduced forms as shown in tabular form in Tables 7 and 8.

10. Reduction of Inconsistent Algorithms

In the case of inconsistent PQ-algorithm in S the following and normalization goes in a similar way.

Let (P,Q) be a inconsistent algorithm, and $\alpha \in P$.

An attribute α is dispensable in P,Q-algorithm, if POS (P,Q) = POS $((P - \{\alpha\}),Q)$; otherwise the attribute α is indispensable in (P,Q).

The algorithm (P,Q) is independent if all $\alpha \in P$ are indispensable in (P,Q).

The set of attributes $R \subseteq P$ will be called a reduct of (P,Q), if (R,Q) is independent and POS (P,Q) = POS (R,Q).

As before the set of all indispensable attributes in (P, Q) will be called the core of (P, Q), and will be denoted by CORE (P, Q). In this case the Proposition 7 is also valid.

Thus the case of the consistent algorithm is a special case of the inconsistent one.

Example 7

Consider again KR-system as shown in Table 3, and the following set of condition and decision attributes $T=\{\alpha,\ b\}$ and $W=\{c,d\}$. The corresponding TW-algorithm will have the form

| U | α | ა | c | d |
|---|---|----|----------|---|
| 1 | 1 | o | 2 | 1 |
| 4 | 1 | 2_ | 2 | 1 |
| 2 | 2 | 1 | <u>0</u> | 1 |
| 3 | 2 | 1 | 2 | 0 |
| 5 | 1 | 2 | O | O |

Table 10

As mentioned before, the only consistent (true) decision rule is the rule number 1, i.e. α_1 $b_0 \rightarrow c_2$ d_1 . Hence the positive region of the TW-algorithm consists only of this rule. In order to see whether the attribute α or b is dispensable or not we have to drop each of the attributes and check whether the positive region of the algorithm has changed or not, which is demonstrated below.

Removing attribute lpha we get the same positive region

| \overline{u} | ბ | c | d |
|----------------|---|---|---|
| 1 | o | 2 | 1 |
| 4 | 2 | 2 | 1 |
| 2 | 1 | o | 1 |
| 3 | 1 | 2 | Ō |
| 5 | 2 | 0 | 0 |

Table 11

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whereas when removing attribute b we changed the positive region, which is now the empty set, because all decision rules in the algorithm are inconsistent (false).

| U | а | c | c |
|--------|---|--------|---|
| 1 | 1 | 2 | 1 |
| 4 | 1 | 2 | 1 |
| 2 | 2 | 0 | 1 |
| | 2 | 2 | C |
| 3 5 | 1 | 2 0 | 0 |

Table 12

Hence the attribute α is dispensable, whereas the attribute b is the core and the reduct of the algorithm and consequently the reduced for of this algorithm is as follows

| U | b | c | | d |
|---|---|---|---|---|
| 1 | 0 | 2 | | 1 |
| 4 | 2 | 2 | | 1 |
| 2 | 1 | 0 | | 1 |
| 3 | 1 | 2 | | O |
| 5 | 2 | Ò | - | Ô |

Table 13

This means the the algorithm

has only one reduced form shown below

11. Reduction of Decision Rules

The purpose of this section is to show how the decision logic can be used to further simplification of decision algorithms by elimination of unnecessary conditions in each decision rule of a decision algorithm separately, in contrast to reduction performed on all decision rules simultaneously, as defined in the previous sections. Before we give the necessary definitions, let us first introduce auxiliary denotation. If ϕ is P-basic formula and $Q \subseteq P$, then by ϕ/Q we mean the Q-basic formula obtained from the formula ϕ by removing from ϕ all elementary formulas (α, v_{α}) such that $\alpha \in P = Q$.

Let ϕ -> ψ be a PQ-rule, and let α \in P. We will say that the attribute α is dispensable in the rule ϕ -> ψ if and only if

$$|=_S \phi \rightarrow \psi \text{ implies } |=_S \phi/(P-\{\alpha\}) \rightarrow \psi$$

otherwise the attribute α is indispensable in $\phi \rightarrow \psi$.

If all attributes $\alpha \in P$ are indispensable in $\phi \to \psi$ then $\phi \to \psi$ will be called independent.

The subset of attributes $R\subseteq P$ will be called a reduct of PQ-rule $\phi\to\psi$, if $\phi\to\psi$ is independent and $|=_S\phi\to\psi$ implies $|=_S\phi/R\to\psi$.

If R is a reduct of the PQ-rule $\phi \rightarrow \psi$, then $\phi/R \rightarrow \psi$ is said to be reduced.

The set of all indispensable attributes in $\phi \rightarrow \psi$ will be called the core of $\phi \rightarrow \psi$, and will be denoted by CORE ($\phi \rightarrow \psi$).

One can easily verify that the following theorem is true.

Proposition 8

CORE $(P \rightarrow Q) = \bigcap RED (P \rightarrow Q)$,
where $RED (P \rightarrow Q)$ is the set of all reducts of $(P \rightarrow Q)$.

Example 8

As we already mentioned we are going now eliminate unnecessary conditions in each decision rule of a decision algorithm separately, i.e.compute core and reducts of each decision rule in the algorithm.

There are two possibilities available at the moment. First we may reduce the algorithm, i.e. drop all dispensable condition attributes in the whole algorithm and afterwards reduce each decision rule in the reduced algorithm, i.e. drop all unnecessary conditions in each rule of the algorithm. The second option consists in reduction at the very beginning decision rules, without elimination attributes from the whole algorithm.

Let us first discuss the first option, and as an example consider the KR-system

| U | а | b | c | d | e |
|---|---|---|---|---|---|
| 1 | 1 | 0 | 2 | 1 | 1 |
| 2 | 2 | 1 | 0 | 1 | 0 |
| 3 | 2 | 1 | 2 | Ō | 2 |
| 4 | 1 | 2 | 2 | 1 | 1 |
| 5 | 1 | 2 | O | O | 2 |

Table 14

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and the PQ-algorithm in the system shown below, where $P=\{\alpha,b,c\}$ and $Q=\{d,e\}$ are condition and decision attributes respectively — as in Example 4.

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We have to eliminate unnecessary conditions in each rule of the algorithm separately.

Let us start with the first rule a_1 b_0 c_2 $\rightarrow d_1$ e_1 . The core of this rule is the the empty set, because a, b and c are dispensable in the rule, i.e. the following decision rules b_0 c_2 $\rightarrow d_1$ e_1 , a_1 c_2 $\rightarrow d_1$ e_1 and a_1 b_0 $\rightarrow d_1$ e_1 are true. In other words either of the conditions b_0 c_2 , a_1 c_2 or a_1 b_0 uniquely determine the decision d_1 e_1 . There are two reducts of the rule namely $\{b\}$ and $\{a,c\}$. Hence the rule a_1 b_0 c_2 a_1 a_2 can be replaced by either one of rules b_0 a_1 a_2 or a_1 a_2 a_3 a_4 a_4

Each of the remaining four rules have one core attribute c, and consequently two reducts $\{a, c\}$ and $\{b, c\}$. Thus, for example the second rule, $a_2 \ b_1 \ c_0 \ ^{->} \ d_1 \ e_0$ has two reduced forms $a_2 \ c_0 \ ^{->} \ d_1 \ e_0$ and $b_1 \ c_0 \ ^{->} \ d_1 \ e_0$.

Let us summarize the above considerations in tabular form. Table 15 contains cores of each decision rule.

| U | α | ბ | С | d | e |
|---|---|---|---|---|---|
| 1 | _ | | _ | 1 | 1 |
| 2 | _ | _ | 0 | 1 | 0 |
| 3 | _ | _ | 2 | O | 2 |
| 4 | _ | - | 2 | 1 | 1 |
| 5 | - | _ | O | Õ | 2 |

Table 15

In Table 16 all reduced decision rules are listed.

| U | α | b | <u> </u> | đ | <u>e</u> |
|--------------------|---|---|----------|---|-------------|
| 1 | - | o | | 1 | 1 |
| 1' | 1 | _ | 2 | 1 | 1 |
| | 2 | | 0 | 1 | 0 |
| 2' | | 1 | 0 | 1 | 0 |
| 2 2' 3 3' | 2 | - | 2 | 0 | 0 2 2 |
| 3' | | 1 | 2 | 0 | . 2 |
| | 1 | _ | 2 | 1 | 1 |
| 4 4' | _ | 2 | 2 | 1 | 1 |
| 5 5' | 1 | _ | 0 | o | 2 |
| 5' | _ | 2 | 0 | Ö | 2 |

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Table 16

Because each decision rule in the algorithm have two reduced forms, hence in order to simplify the algorithm we have to choose one of them, and as a result we get the algorithm with reduced decision rules as shown for example in Table 17.

| U | α | b | с | d | e |
|----|---|-----|---|---|---|
| 1 | _ | o | _ | 1 | 1 |
| 2' | - | 1 | Ó | 1 | Ō |
| 3 | 2 | · — | 2 | O | 2 |
| 4, | - | 2 | 2 | 1 | 1 |
| 5 | 1 | _ | 0 | O | 2 |

Table 17

which can be also presented in the DL-language as

Note that rules 1' and 4 are identical, hence choosing on of the rules we obtain decision algorithm with smaller number of decision rules, as shown for example in Table 18

| U | α | ь | C | d | e |
|----|---|---|---|---|---|
| 1' | 1 | | 2 | 1 | 1 |
| 2' | _ | 1 | o | 1 | O |
| 3 | 2 | | 2 | o | 2 |
| 5 | 1 | - | 0 | 0 | 2 |

Table 18

or in decision logic notation

We may also first reduce the algorithm and then reduce further decision rules in the reduced algorithm. In this case the above example of decision algorithm would be reduced as follows:

As already shown in Example 6 the algorithm has two reduced forms

and

which can be presented in tabular form

| U | α | С | d | e |
|---|---|---|----|----------|
| 4 | 1 | 2 | 1 | 1 |
| 2 | 2 | 0 | 1 | <u>o</u> |
| 3 | 2 | 2 | O. | . 2 |
| 5 | 1 | 0 | 0 | 2 |

Table 19

and

| U | a | С | d | e |
|--------|--------------|----------|--------------|----------|
| 1 | 0 | 2 | 1 | 1 |
| 4 | 2 | <u></u> | | |
| 2 3 | - | <u>-</u> | _ | <u>2</u> |
| 5 5 | 2 | ō | Ö | 2 |

Table 20

All decision rules in the decision algorithm snown in Table 19 are already reduced, hence the algorithm cannot be simplified further. In the decision algorithm in Table 20 rules 2, 3, 4 and 5 are are already reduced, whereas in the rule 1 the condition c_2 can be eliminated and the rule will have the form $b_0 \rightarrow d_1 e_1$. Thus the algorithm takes the form

12. Minimization of Decision Algorithms

In this section we will consider whether all decision rules are necessary in a decision algorithm, or more exactly we aim at elimination of superfluous decision rules associated with the same decision class. It is obvious that some decision rules can be dropped without disturbing the decision making process, since some other rules can overtake the job of the eliminated rules. This is equivalent to the problem of elimination of superfluous sets in union of the limination of superfluous sets in union of superfluous s

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more precisely some auxiliary notions are needed.

Let $\mathscr A$ be a basic algorithm, and let S=(U,A) be a KR-system. The set of all basic rules in $\mathscr A$ having the same successor ψ will be denoted $\mathscr A_{\psi}$, and $\mathscr P_{\psi}$ is the set of all predecessors of decision rules belonging to $\mathscr A_{\psi}$

A basic decision rule $\phi \to \psi$ in $\mathscr A$ is dispensable in $\mathscr A$, if $|=_S \lor \mathscr P_\psi \equiv \lor \langle \mathscr P_\psi - \langle \phi \rangle \rangle$, where $\lor \mathscr P_\psi$ denotes disjunction of all formulas in $\mathscr P_\psi$; otherwise the rule is indispensable in $\mathscr A$. If all decision rules in $\mathscr A_\psi$ are indispensable then the set of rules $\mathscr A_\psi$ is called independent.

A subset \mathscr{A}_{ψ}' of decision rules of \mathscr{A}_{ψ} is a reduct of \mathscr{A}_{ψ} if all decision rules in \mathscr{A}_{ψ}' are independent and $|=_{S} \vee \mathscr{P}_{\psi} \equiv \vee \mathscr{P}_{\psi}'$.

A set of decision rules \mathscr{A}_{ψ} is $\mathit{reduced},$ if reduct of \mathscr{A}_{ψ} is \mathscr{A}_{w} it shelf.

Now we are ready to give the basic definition of this section.

A basic algorithm $\mathscr A$ is minimal, if every decision rule in $\mathscr A$ is reduced and for every decision rule $\phi \to \psi$ in $\mathscr A$, $\mathscr A_{\psi}$ is reduced.

Thus in order to simplify a PQ-algorithm, we must first reduce the set of attributes, i.e. we present the algorithm in a normal form (note that many normal forms are possible in general). The next step consists in the reduction of the algorithm, i.e. simplifying the decision rules. The least step removes all superfluous decision rules from the algorithm.

The example which follows will depict the above defined concepts.

Example 9

Supouse we are given the following KR-system

| U | α | ь | c | d | e |
|---|---|---|---|---|---|
| 1 | 1 | o | 0 | 1 | 1 |
| 2 | 1 | ŏ | ŏ | ō | 1 |
| 3 | 0 | 0 | 0 | 0 | 0 |
| 4 | 1 | 1 | 0 | 1 | 0 |
| 5 | 1 | 1 | 0 | 2 | 2 |
| 6 | 2 | 2 | 0 | 2 | 2 |
| 7 | 2 | 2 | 2 | 2 | 2 |

Table 21

and assume that $P = \{a, b, c, d\}$ and $Q = \{e\}$ are condition and decision attributes respectively.

It is easy to compute that the only e-dispensable condition attribute is c. Thus Table 22 can be simplified as shown in Table 23 below.

| L | / α | ь | d | е |
|---|-----|---|---|---|
| 1 | 1 | 0 | 1 | 1 |
| 2 | - | ő | ō | 1 |
| 3 | | 0 | 0 | 0 |
| 4 | 1 | 1 | 1 | O |
| 5 | i 1 | 1 | 2 | 2 |
| E | 2 | 2 | 2 | 2 |
| 7 | 7 2 | 2 | 2 | 2 |

Table 23

In the next step we have to reduce the superfluous values of attributes, i.e. reduce all decision rules in the algorithm. To this end we have first computed core values of attributes, and the result is presented in Table 24.

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| U | a | ь | d | e |
|-------------|---|---|---|---|
| | | | | |
| 1 | _ | 0 | _ | 1 |
| 2 | 1 | - | | 1 |
| 3 | 0 | - | | O |
| 2 3 4 | _ | 1 | 1 | 0 |
| | _ | _ | 2 | 2 |
| 5 6 7 | | | _ | 2 |
| 7 | | | _ | 2 |

Table 24

In the table below we have listed all value reducts

| U | a . | ъ | d | e |
|---|----------------------------|---------------------------------|---------------------------------|--|
| | | | | |
| 1 | 1 | O | × | 1 |
| 1, | × | Ó | 1 | 1 1 |
| 2 | 1 | O | × | 1 |
| 2' | 1 | × | o | 1 |
| 3 | 0 | × | × | o |
| 4 | <u>x</u> | 1 | 1 | 0 |
| 1 1' 2 2' 3 4 5 6' 6'' 7 | × | × | 2 x 2 2 x 2 2 | 1 0 0 2 2 2 2 2 2 2 2 2 |
| 6 | 2 | 1 | × | 2 |
| 6' | 2 | × | 2 | 2 |
| 6'' | × | 1 | 2 | 2 |
| 7 | 2 | 2 | × | 2 |
| 7' | x 2 2 x 2 2 | x 1 x 1 2 x 2 | 2 | 2 |
| 7'' | × | 2 | 2 | 2 |

Table 25

As we can see from the table in row 1 we have two reducts of condition attributes — a_1 b_0 and b_0 d_1 . Similarly for the row number 2 we have also two reducts — a_1 b_0 and a_1 d_0 . There are two minimal sets of decision rules for decision class 1, namely

1)
$$a_1b_0 \rightarrow e_1$$

2) $b_0d_1 \rightarrow e_1$
 $a_1d_0 \rightarrow e_1$

or

$$b_0 d_1 \vee a_1 d_0 \rightarrow e_1$$

For decision class 0 we have one minimal set of decision rules

$$\begin{array}{ccc} a_0 & \rightarrow & e_0 \\ b_1 d_1 & \rightarrow & e_0 \end{array}$$

or

$$a_0 \vee b_1 d_1 \rightarrow e_0$$

For the decision class 2 we have also one minimal decision rule $\ensuremath{\mathsf{G}}$

$$d_2 \rightarrow e_2$$

Finally we get two minimal decision algorithms

$$a_1b_0 \rightarrow e_1$$
 $a_0 \rightarrow e_0$
 $b_1d_1 \rightarrow e_0$
 $d_2 \rightarrow e_2$

and

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The combined form of these algorithms are

and

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